

R.G.M. AND ASSOCIATES
3230 Monument Way, Concord, CA 94518
(925) 671-7717 FAX (925) 671-7788
CSL # 509513



LETTER OF TRANSMITTAL

ATTENTION:	LCP Special Assistant	DATE:	February 26, 2010	JOB NO:	
TO:	Office of the Director Department of Industrial Relations 455 Golden Gate Avenue, 10th Floor San Francisco, CA 94102 (415) 703-5063	RE:	Annual Report – LCP Tracy Unified School District Antioch Unified School District		

WE ARE SENDING YOU VIA FED EX the following items:

- ☐ Shop drawings ☐ Prints ☐ Samples ☐ Specifications
☐ Copy of letters ☐ Change order ☒ Other = ANNUAL REPORT

COPIES	DATE	NUMBER OF PAGES	DESCRIPTION
1	2/26/10		Tracy Unified School District 2009 Annual Report
1	2/26/10		Antioch Unified School District 2009 Annual Report

THESE ARE TRANSMITTED as checked below:

- ☐ For approval ☐ Approved as submitted ☐ Resubmit ___ copies for approval
☐ For your information ☐ Approved as noted ☐ Submit ___ copies for distribution
☒ As requested ☐ Returned for corrections ☐ Return ___ copies for distribution
☐ For review and comment ☐ _____

REMARKS:

To Whom It May Concern:

Enclosed please find the 2009 Annual Reports submitted on behalf of the Tracy Unified School District and Antioch Unified School District

Thank you,

Susan Matsumoto
Labor Compliance Manager

COPY TO: File

SIGNED:

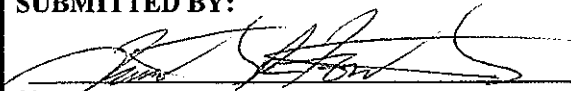
If enclosures are not as noted, kindly notify us at once.

Q:\Labor Compliance\REORGANIZED LCP FILES - TEST\ACTIVE DISTRICTS-LCP\ANNUAL REPORTS\2009\District Reports\Transmittal\2009 AR Transmittal - Tracy & Antioch.doc



LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Reporting Period 1/1/09 to 12/31/09

<p>1. Name of Labor Compliance Program (LCP): Antioch Unified School District - District LCP - Antioch Model Administered by: RGM and Associates - Third Party Labor Compliance Program Provider - effective February 6, 2004. Prior LCP administration was provided by Dane Ruddell of WCS/CA. Mr. Timothy Forrester, Executive Director Operations, is the acting Labor Compliance Officer to sign on behalf of the Antioch Unified School District's District LCP.</p>		
<p>2. LCP I.D. Number (assigned by DIR): 2002.00002</p>		
<p>3. Date of Initial Approval: January 1, 2002 (Converted to "approved" without an expiration date June 17, 2009)</p>		
<p>4. Contact person (include name, title, address, telephone, fax, and e-mail, if available): Ralph J. Caputo, President, CEO, RGM and Associates, 3230 Monument Way, Concord, California 94518 Phone - (925) 671-7717 - FAX - (925) 671-7788 - E-mail - rgm@rgmassociates.com</p>		
<p>5. Did LCP perform and LC § 1771.5 enforcement activities during the 12 months in the reporting period?</p> <p>Please check one: <input checked="" type="checkbox"/> Yes If Yes, proceed to item 6 on the next page</p> <p><input type="checkbox"/> No If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant, 455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102</p>		
<p>What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary): None</p>		
<p>SUBMITTED BY:</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">  Signature </div> <div style="text-align: center;"> <u>Timothy Forrester – Executive Director Operations</u> </div> <div style="text-align: right;"> <u>December 31, 2009</u> </div> </div>		

LCP-AR1

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

Awarding Body: Antioch Unified School District

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Sutter Elementary Fence	01/08/2009	Wayne E. Swisher Cement	\$ 7,800.00
Sutter Elementary School Cabling	03/17/2009	CBH.Net	\$ 5,216.44
Turner Elementary School Modernization	03/03/2009	3D Datacom	\$ 59,489.00
Oakley Prewitt Computer Lab	03/26/2009	Pacific Power & Systems	\$ 7,935.99
Oakley Prewitt Computer Lab	03/26/2009	AMS.Net	\$ 3,934.42
Oakley Prewitt Science Lab	08/02/2009	G & G Builders	\$ 61,382.00
Oakley Prewitt New Marquee	08/18/2009	Pacific Power & Systems	\$ 13,300.00
Total			\$ 159,057.85

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
Oakley Prewitt Science Lab	Norcal Plumbing	\$ 77.00	\$ 77.00	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Underpayment of Wages
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
Total		\$ 77.00	\$ 77.00		

LCP-AR1

C. For any amount identified in item B for which approval of forfeiture was not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Oakley Prewitt Science Lab	\$ 77.00	\$ 77.00	Not willful violation - Cured within 10 day time frame per LC §1776(g)
Total	\$ 77.00	\$ 77.00	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				
Total				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

☐

YES

☒

NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

☐

YES

☒

NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

LCP-AR1

7. Summary of Monitoring Activities – Antioch Unified School District

Seven (7) projects involving ten (10) contractors were monitored for the Antioch Unified School District, during the monitoring period of January 1, 2009 through December 31, 2009. Communications with contractors included compliance feedback, on-going support and assistance to help facilitate adherence to the Labor Compliance Program.

During the monitoring period a total of sixty-two (62) certified payroll records were received, reviewed, and were verified or corrected to meet compliance with the Contra Costa County prevailing wage determination for the craft(s) listed. Mandatory forms, i.e., DAS 140, CAC 2, and Fringe Benefit Statements, were collected and monitored as well. The license of every contractor was verified to be active per the State of California License Board.

A total of nineteen (19) site interviews were randomly conducted. On all projects, workers from each contractor were interviewed, and information was collect from the workers to verify their name, classification and rate of pay. The workers' statements were compared to the appropriate prevailing wage determinations as well as the certified payroll records received to ensure accuracy and to identify any possible misclassifications or other payroll violations. In response to inquiries, workers were provided information and resources concerning prevailing wage laws and regulations on public works projects. LCP contact information was consistently provided to workers on all projects. Notice of the Labor Compliance Program Approval, including a telephone number to call for inquiries, questions, or assistance with regard to the Labor Compliance Program, was posted at the job site.

Zero (0) Ten-Day Notice letters were issued to contractors to request copies of certified payroll records. One (1) contractor was notified of possible wage discrepancies and all discrepancies were successfully justified or corrected promptly by the contractor or subcontractor. There were no willful violations of the California State Labor Code.

Zero (0) entities requested copies of certified payroll records from various subcontractors. There were no investigations or audits advanced to the Division of Labor Standards Enforcement.